



**CLC MAT**  
**Whistleblowing**  
**Policy**  
**2021-2022**

# Cromwell Learning Community Trust Mission Statement

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## One Vision

The Cromwell Learning Community Trust has been established through a shared belief that lives can be transformed by what goes on in schools. We believe that the process of teaching and learning shapes futures. To this end our mission is to give every child learning experiences that excite them and give them the power to begin to shape their own lives.

## One Aim

The Cromwell Learning Community Trust will ensure that all pupils achieve the highest standard of educational outcomes regardless of circumstances or background.

## Rationale

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The code is intended to help employees in or working with the Cromwell Learning Community Trust to raise concerns about possible malpractice(s) at an early stage and in the right way. The trust would rather employees raised the matter when it is just a concern rather than wait for concrete proof.

If something troubles employees which they think the trust should know about or look into, they are advised to use this policy. The Whistle Blowing Code is not for use in relation to personal grievances. The Trust's Grievance Procedure is designed for this purpose. This Whistle Blowing Code is primarily for concerns where the interests of others, or of the organisation, itself are at risk.

Situations covered by the Whistleblowing Code are likely to include circumstances where employees believe that one or more of the following is possible:

- A criminal offence (e.g. fraud, corruption or theft) has been/is likely to be committed.
- A miscarriage of justice has been/is likely to occur
- The health or safety of any individual has been/is likely to be endangered
- The environment has been/is likely to be damaged
- Public funds are being used in an unauthorised manner
- The trust's governance arrangements have not or are not being observed or are being breached by pupils, staff employed in or those working with Cromwell Learning Community Trust
- Sexual or physical abuse of any employee or service recipient is taking place (subject to the Child Protection Procedure in the case of children)
- Discrimination is occurring against any member of staff or service recipient on grounds of sex, race or disability
- There is evidence of activities not in line with a democratic, multi-cultural, multi-faith inclusive Britain
- Any other form of improper action or conduct is taking place
- Information relating to any of the above is being deliberately concealed or attempts are being made to conceal the same.

## Policy statement

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### The code aims to:

- Encourage employees in or working with the Cromwell Learning Community Trust, to feel confident in raising serious concerns, and to question and act upon their concerns;
- Provide ways for employees in or working with the Cromwell Learning Community Trust to raise those concerns and get feedback on any action taken as a result;
- Ensure that employees in or working with the Cromwell Learning Community Trust are aware how to pursue their concerns and the appropriate steps to take if they are not satisfied with any action;
- Reassure employees in or working with the Cromwell Learning Community Trust that if they raise any concerns in good faith and reasonably believe them to be true, they will be protected from possible reprisals or victimisation.

It is not intended to be used where other more appropriate procedures are available for example:

- Grievances – (see Grievance Procedure)
- Harassment – (see Anti-Harassment Policy and Procedure)
- Complaints of misconduct against Trustees or Directors
- Child protection (see Child Protection procedures)

### The scope of the School's whistleblowing code

All employees in or working with or assisting the Cromwell Learning Community Trust may use this code. This includes permanent and temporary employees, and employees seconded to a third party. Any concerns relating to the third party, if relevant to the employee's secondment, can also be raised under this Code.

### Assurance of being taken seriously and protected

Employees raising a genuine concern under this Code, will not be at risk of losing their job or suffering any form of retribution as a result. Provided an employee is acting in good faith, it does not matter if they are mistaken. Of course, this assurance is not extended to someone who maliciously raises a matter that s/he knows to be untrue.

The Board of Trustees will not tolerate the harassment or victimisation of anyone raising a genuine concern. However, it is recognised that employees may, nonetheless, want to raise a concern in confidence under this code.

Requests for confidentiality will be granted, and identity will not be disclosed without consent. If the situation arises where resolution of the concern is not possible without revealing identity (for instance because evidence is needed in court), discussions with the employee raising the matter will be held to decide on how to proceed.

### The legal context

The Public Interest Disclosure Act 1998 (hereinafter referred to as 'the Whistle Blower's Act') protects employees against detrimental treatment or dismissal as a result of any disclosure of normally confidential information in the interests of the public. The Act only covers protected

disclosures under six categories, namely; crime, illegality, miscarriage of justice, damage to health and safety, damage to the environment, and 'cover-ups' about these issues.

To obtain protection, employees must first disclose the information to the employer.

Therefore, this code has been adopted to provide an avenue within the trust to raise concerns. If an employee takes the matter outside the trust, s/he should ensure that no disclosure of confidential information takes place and should take advice, if unsure, as the Public Interest Disclosure Act does not provide blanket protection and could leave employees in or working with or assisting Cromwell Learning Community Trust vulnerable to disciplinary or other action, if they disclose confidential information in circumstances not covered by the Act.

Employees unsure whether to use this Trust's Whistleblowing Code for their concern or require independent advice at any stage, are advised to contact:

- If applicable, the relevant trade union; or
- The independent charity Public Concern at Work on 020 7404 6609. Their lawyers can give confidential advice at any stage about how to raise a concern about serious malpractice at work.

### **How should a concern be raised?**

As soon as an employee becomes reasonably concerned, the matter should firstly be raised with the Head teacher and/or Chair of the Board of Trustees (unless s/he is the potential transgressor), in which case it should be raised with the Trust's CEO or Director of Academies. If s/he is the reason for the concern, or suspected of being connected with it, contact should be made with the Education Skills Funding Agency or Ofsted.

Concerns may be raised orally or in writing. Employees who wish to make a written report should use the following format.

The background and history of the concern (giving relevant dates).

The reason why they are particularly concerned about the situation

### **The response of the Board of Trustees**

The relevant person will also ensure that, in the most serious of concerns, the Cromwell Learning Community Trust or ESFA, whichever is the more relevant, receives adequate details of the employees using this code for corporate recording and monitoring purposes.

Once the Trust has been informed they will look into it to assess initially what action should be taken. This may involve an internal inquiry or a more formal investigation. The employee will be told who may be handling the matter, how they may be contacted and whether further assistance may be needed. If the employee requests it, the Trust or Head teacher of the school involved will write to the employee summarising the concern(s) and setting out how it will be handled.

The employee may be asked how best the situation may be resolved, in their view. It is essential that they declare any personal interest at the outset. Should the concern fall within another policy of the Trust, for example the Grievance Policy, the employee will be informed at this point.

While the purpose of this code is to enable an investigation of possible malpractice and take appropriate steps to deal with it, the employee will be given as much feedback as is possible in the situation. If requested, the response will be in writing. Any response may be limited by other confidentiality.

Concerns or allegations which fall within the scope of specific procedures (for example child protection) will normally be referred for consideration under that relevant procedure. Some concerns may be resolved by agreed action without the need for investigation. If urgent action is required, this will be taken before any investigation is conducted.

Where appropriate, the matters raised may:

- Be investigated by the Executive team, representatives of the Board of Trustees or through the disciplinary process.
- Be referred to the police.
- Be referred to the external auditor
- Form the subject of an independent inquiry.

Usually, within two weeks of a concern being raised, the person looking into the concern will write to the person raising the concern:

- Acknowledging that the concern has been received.
- Indicating how the Trust proposes to deal with the matter
- Giving an estimate of how long it will take to provide a full response
- Saying whether any initial enquiries have been made
- Supplying information on support available to you; and
- Saying whether further investigations will take place and if not, why not.

Subject to any legal constraints, the relevant employee will normally be informed of the final outcome of any investigation.

### **Safeguards are there for the person raising the concern**

- The Board of Trustees will not tolerate any harassment or victimisation (including informal pressures) and will take appropriate action to protect employees who raise a concern in good faith.
- Any investigation into allegations of potential malpractice will not influence or be influenced by any disciplinary or redundancy procedures already taking place concerning the employee.
- No action will be taken against anyone who makes an allegation in good faith, reasonably believing it to be true, even if the allegation is not subsequently confirmed by the investigation.
- Every effort will be made to ensure confidentiality as far as this is reasonably practical.
- Help will be provided in order to minimise any difficulties resulting from this referral. This may include advice on giving evidence if needed. Meetings may, if necessary be arranged off-site and with representation if that is the wish of the employee.

## **Raising a concern**

An employee unsure whether to use this Trust's Whistleblowing Code or requiring independent advice at any stage, should contact:

- If applicable, the relevant trade union; or
- Worklife Support on 08000 856 148

Employees in or working with or assisting the Cromwell Learning Community Trust who are not satisfied with the action taken by the Trust or Board of Trust and feel it right to question the matter further, may consider the following possible contact points:

- The ESFA's Lead Officer
- The school's independent auditors
- The employee's Trade Union
- The Citizens Advice Bureau and/or law centre/firm
- Relevant professional bodies or regulatory organisations
- The Local Government Ombudsman
- The Information Commissioner
- A relevant voluntary organisation
- The Police and/or Health and Safety Executive

## **Corporate recording & monitoring**

The Trust will ensure it has sufficient internal arrangements to address the requirements of the Code, including appropriate support for the Board of Trustees/Head teachers in implementing the Code.

The Trust will maintain a Whistleblowing Register containing all concerns that are brought to its attention.

The Trust will review the Whistleblowing Register and produce an annual report. The report will not mention any employees, only the concerns raised, the number of such concerns, the fact that the concern relates to a school or other education service, and the nature of the job held by the person over whom the concerns were raised (if not confidential). The report will also note any issues arising from the same with the intention of:

- a) preventing the occurrence of similar concerns in future; and
- b) consistency of treatment across schools and the education service

For the avoidance of doubt, the Whistleblowing Register – along with any annual reports referred to above – will be available for inspection by Internal and External Audit, after removing any items which any employee in or working with or assisting the Cromwell Learning Community Trust has asked should remain confidential.

<b>Monitoring and review</b>	Board of Trustees
<b>Links</b>	Code of Conduct Policy Safeguarding Policy Child Protection Policy
<b>Staff responsible</b>	Headteachers of all academy schools Board of Trustees
<b>Committee responsible</b>	Board of Trustees

**Date approved**

**Review Date:**

\*Please note that should there be any changes/further national guidance issued relevant to this policy, it will be updated accordingly prior to the review date shown above and referred to the next MAT Board meeting.